

# Memorandum

**To:** Chairman and Commissioners

**Date:** August 10, 2001

**From:** Robert I. Remen

**File No:** BOOK ITEM 4.2a  
INFORMATION

**Ref:** **2001 REPORT ON COUNTY AND INTERREGIONAL SHARE BALANCES**

Section 188.10 of the Streets and Highways Code, added by SB 45 (1997), requires that the Commission maintain a long-term balance of shares, shortfalls, and surpluses for regional improvement programs. It also requires that the Commission make the balance through the preceding fiscal year available for review by all regional agencies at the time of each fund estimate and by not later than August 15 of each year. The balance is to include shares from the prior fund estimate, amounts programmed in the STIP, surpluses or shortfalls due to reservations or advancements, and adjustments as provided for in statute.

Commission staff has transmitted this year's report to all regions and Caltrans. It includes both county and interregional share balances, with listings of projects programmed from those balances. The balances have been updated to include STIP amendments and votes approved at the Commission's July 12-13, 2001 meeting. The report's transmittal letter and the summary table of balances are included with this book item. Copies of the full report are available from the Commission office.

Attachments

ALLEN M. LAWRENCE, Chairman  
DIANNE McKENNA, Vice Chair  
JEREMIAH F. HALLISEY  
JAMES W. KELLOGG  
JOHN R. LAWSON  
R. K. LINDSEY  
ESTEBAN E. TORRES

SENATOR KEVIN MURRAY, Ex Officio  
ASSEMBLYMAN JOHN DUTRA, Ex Officio

ROBERT I. REMEN, Executive Director

STATE OF CALIFORNIA



GRAY DAVIS  
GOVERNOR

## CALIFORNIA TRANSPORTATION COMMISSION

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August 3, 2001

To: Regional Agencies  
Caltrans

### **2001 Report of STIP Balances** **County and Interregional Shares**

This is the California Transportation Commission's fourth annual report of STIP county share and interregional share balances. Section 188.10 of the Streets and Highways Code mandates that the Commission maintain a record of balances and that it make the balances through the end of each fiscal year available for review by regional agencies not later than August 15 each year.

This year's report of share balances includes STIP amendments and allocations approved through the Commission's July 11-12, 2001 meeting. These balances will constitute the carryover base for the 2002 STIP Fund Estimate, scheduled for adoption at the Commission's August 22-23, 2001 meeting. These prior balances are based on the 2000 STIP fund estimate, which included program capacity through FY 2003-04. The new fund estimate will add three new fiscal years, with program capacity through FY 2006-07.

This report also reflects the status of the STIP Advance Project Development Element (APDE). The APDE was created by AB 1012 (1999) and, in effect, permits an advance of county or interregional share to the extent that the advance is used for project environmental or design work. All programmed APDE projects are deducted from current STIP share balances, and the report identifies the current APDE projects and APDE total for each share.

The information in this report is provided in four parts:

1. Summary of STIP Share Balances (1 page). This chart is a single-page summary of the status of all county shares and the interregional share. The share balances are drawn from the more detailed individual share summaries that follow. This summary chart also lists the APDE authority, the current total of APDE projects, and the sum of lapsed projects for each share. The APDE authority and project totals are not listed on the individual county summaries, though the individual APDE projects are noted in the project lists. The lapsed total is the amount that has been deducted from each share for projects that were deleted from the STIP because they were not allocated before the end of the fiscal year programmed or by the time of any extension granted. This amount will be added back into the share balances for the new county share period being programmed in the 2002 STIP.
2. Summary of STIP County Shares (statewide total of 108 pages, 1-7 pages per county). These shares exclude amounts for programmed projects grandfathered from the 1996 STIP. For each county, these sheets identify:

- the county share from the original 1998 Fund Estimate adopted in January 1998,
  - the June 1998 adjustment (part of the transition from county minimums to county shares under SB 45, 1997),
  - the added county share from the January 1999 amendment to the 1998 Fund Estimate for the 1998 STIP Augmentation,
  - the added county share from the July 2000 adoption of the 2000 STIP Fund Estimate,
  - adjustments made for projects grandfathered from the 1996 STIP, including project deletions or vote savings (allocations less than program levels) during FY 1998-99, FY 1999-2000, and FY 2000-01.
  - other adjustments, including adjustments for projects programmed but not allocated during FY 1998-99, FY 1999-2000, and FY 2000-01.
  - the total county share made available,
  - the amounts now programmed or allocated from the county share, including a listing by project, and
  - the county's net unprogrammed balance or the balance advanced or overdrawn.
3. Summary of STIP Interregional Share (6 pages). This chart provides the same type of information for the interregional program.
4. Grandfathered 1996 STIP Projects Remaining at June 30, 2001 (2 pages). This chart identifies all grandfathered projects remaining as of June 30, 2001, and their grandfathered funding for capital outlay, both right-of-way and construction. Although Caltrans support costs are part of the STIP, the support costs for grandfathered projects do not affect county share balances and are not displayed here. Grandfathered projects allocated prior to June 30, 2001 are not listed.

This report includes STIP project listings for the primary purpose of documenting the use and availability of county and interregional shares. Although these listings may provide a useful summary of STIP projects, the project descriptions are very brief and should not be regarded as complete and authoritative. Caltrans maintains a database with more complete project descriptions, and final authority rests with specific Commission actions and their supporting documentation.

Please direct any comments or questions you may have regarding this report to David Brewer, the Commission's Deputy Director for Highways and Programming, telephone, 916-653-2094, e-mail David.Brewer@dot.ca.gov.

Sincerely,

A handwritten signature in black ink, appearing to read "David Brewer", with a large, sweeping flourish above the name.

Regional Agencies, Caltrans  
August 3, 2001  
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ROBERT I. REMEN  
Executive Director

# SUMMARY OF STIP SHARE BALANCES

## Including STIP Amendments and Allocations through July 2001

(\$1,000's)

County	1998/2000 STIP Regional and Interregional Shares				2000 STIP	Current	Lapsed
	Share	Progr'd	Unprogr'd Balance	Balance Advanced	APDE Authority	APDE Projects	
Alameda	254,327	254,346	0	19	13,175	3,000	4,031
Alpine - Amador - Calaveras	30,331	17,357	12,974	0	2,161		
Butte	35,289	33,806	1,483	0	2,540	500	1,615
Colusa	9,442	8,427	1,015	0	652		
Contra Costa	145,745	136,078	9,667	0	8,207		420
Del Norte	8,531	11,222	0	2,691	622		
El Dorado LTC	20,619	15,477	5,142	0	1,504		
Fresno	118,092	203,513	0	85,421	8,666		731
Glenn	13,019	13,145	0	126	714		177
Humboldt	46,786	31,388	15,398	0	2,598		204
Imperial	59,120	36,727	22,393	0	4,037		
Inyo	56,329	53,452	2,877	0	3,418		144
Kern	187,747	186,584	1,163	0	11,362		
Kings	30,121	23,039	7,082	0	1,650		
Lake	17,899	10,516	7,383	0	1,072		201
Lassen	29,015	30,183	0	1,168	1,568	1,168	28
Los Angeles	1,337,721	1,277,182	60,539	0	83,452	11,623	8,842
Madera	19,416	18,915	501	0	1,416		
Marin	60,374	59,755	619	0	2,715		181
Mariposa	7,234	7,093	141	0	528		
Mendocino	33,260	32,811	449	0	2,422		21
Merced	38,584	38,801	0	217	2,813		542
Modoc	15,899	15,899	0	0	860		
Mono	47,095	50,052	0	2,957	2,504		
Monterey	99,730	98,091	1,639	0	4,661	2,683	13
Napa	24,500	20,461	4,039	0	1,547		
Nevada	18,022	10,280	7,742	0	1,315		1
Orange	351,809	222,243	129,566	0	22,703		
Placer TPA	38,949	47,280	0	8,331	2,128	685	2
Plumas	16,431	15,475	956	0	976		159
Riverside	241,319	210,117	31,202	0	15,555		8,300
Sacramento	159,789	159,789	0	0	10,977		507
San Benito	12,131	9,527	2,604	0	778		74
San Bernardino	368,980	328,711	40,269	0	21,529		13,798
San Diego	473,039	405,403	67,636	0	26,179		
San Francisco	96,869	96,864	5	0	7,094		391
San Joaquin	103,485	77,184	26,301	0	5,752		500
San Luis Obispo	89,722	86,776	2,946	0	4,681	200	
San Mateo	107,771	104,094	3,677	0	7,171		1,297
Santa Barbara	97,297	76,316	20,981	0	5,515		418
Santa Clara	209,007	207,144	1,863	0	15,486		3,326
Santa Cruz	43,273	42,266	1,007	0	2,740		205
Shasta	51,073	40,589	10,484	0	2,762		
Sierra	8,097	6,619	1,478	0	453		277
Siskiyou	32,917	32,917	0	0	1,927		1
Solano	49,152	46,183	2,969	0	3,950	2,250	5,012
Sonoma	84,439	77,818	6,621	0	4,774		455
Stanislaus	70,214	49,854	20,360	0	4,341		85
Sutter	14,746	10,709	4,037	0	979		
Tahoe RPA	11,008	5,697	5,311	0	652		1,158
Tehama	21,705	24,450	0	2,745	1,368	1,000	173
Trinity	22,806	22,800	6	0	993		424
Tulare	97,303	53,994	43,309	0	5,392		5,802
Tuolumne	15,484	20,817	0	5,333	1,129		
Ventura	134,187	147,243	0	13,056	7,873		
Yolo	31,229	31,231	0	2	2,126		66
Yuba	11,483	11,483	0	0	838		
Statewide Regional	5,829,961	5,366,193	585,834	122,066	357,000	23,109	59,581
Interregional	1,853,891	2,047,977	0	194,086	119,000	5,895	3,426
TOTAL	7,683,852	7,414,170	585,834	316,152	476,000	29,004	63,007